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Combined constraints on first generation leptoquarks

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Combined constraints on first generation leptoquarks

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I. INTRODUCTION

Leptoquarks (LQs) were first proposed in the context of the Pati-Salam model [1] and $SU(5)$ grand unified theories [2,3] but later on also postulated in composite models with quark and lepton substructure [4], the strong coupling version of the Standard Model (SM) [5], horizontal symmetry theories [6], extended technicolor [7] as well as in $SO(10)$ [8], $SU(15)$ [9], superstring-inspired E6 models [10] and the R-parity violating minimal supersymmetric Standard Model (see e.g., Ref. [11] for a review). With the excess measured by the Hadron-Electron ring accelerator [12,13] they came into the focus of the high energy community [14–19] but after its disappearance the interest in LQs decreased.

Within recent years LQs experienced a revival, mainly due to the so-called “flavor anomalies.” These are discrepancies between measurements and the SM predictions which point toward lepton flavor universality (LFU) violating new physics (NP) in $R(D^{(*)})$ [20–25], $b \rightarrow s \ell^+ \ell^-$ [26–31] and in the anomalous magnetic moment of the muon (a_μ) [32], with a significance of $>3\sigma$ [33–37], $>5\sigma$ [38–45] and $>3\sigma$ [46], respectively. In this context, it has been shown that LQs can explain $b \rightarrow s \ell^+ \ell^-$ data [47–74], $R(D^{(*)})$ [47,48,50–54,56–58,60,61,65–68,70–109] and/or a_μ [70,71,73,79,87,90,93,104,109–128], making them prime candidates for extending the SM with new particles.

Therefore, the investigation of LQ effects (in observables other than the flavor anomalies) is very well motivated. Complementary to direct LHC searches [129–142], leptonic observables [143] and oblique electroweak (EW) parameters as well as Higgs couplings to gauge bosons [144–149] can be used to test LQs indirectly. Furthermore, if the LQs couple to first generation fermions particularly many low energy precision probes can be affected [114,150–153]. Also beta decays can receive a tree-level effect from LQs which is interesting in the context of the

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so-called Cabibbo-angle anomaly [154,155], where a (apparent) deficit in first row CKM unitarity can be reconciled via NP effects [156–164]. Since a destructive effect w.r.t the purely left-handed SM amplitude is required by data, $SU(2)_L$ gauge invariance also leads to effects in rare kaon decays and/or $D^0 - \bar{D}^0$ in LQ models [165,166] which are complementary to LHC bounds. Therefore, it is interesting to investigate if it is possible to account for the Cabibbo-angle anomaly once all other (relevant) available constraints are taken into account.

In this article we perform a complete analysis of all ten LQ representations, assuming only couplings to first generation (weak-eigenstate) fermions to determine the combined allowed regions in parameter space. For this purpose, we define our setup and conventions in Sec. II and perform the matching on the relevant operators of the SM effective field theory (SMEFT). In Sec. III we calculate how the SMEFT coefficients are related to experimental constraints, perform the phenomenological analysis in Sec. IV and conclude in Sec. V.

II. SETUP AND MATCHING

LQs have first been classified systematically in Ref. [167] into ten possible representations under the SM gauge group: five scalar and five vector ones, as listed in Table I. The conventions are chosen such that the electric charge Q is given by $Q = \frac{1}{2}Y + T_3$, where Y is the hypercharge and T_3 the third component of the weak isospin. These representations allow for couplings to SM quarks and leptons as given in Table II. Here we did not consider couplings to two quarks, which, together with the couplings in Table II, would lead to proton decay. Note that such couplings can be avoided (to all orders in perturbation

TABLE I. The ten possible representations of scalar and vector LQs under the SM gauge group.

Field	Φ_1	$\tilde{\Phi}_1$	Φ_2	$\tilde{\Phi}_2$	Φ_3	V_1	\tilde{V}_1	V_2	\tilde{V}_2	V_3
$SU(3)_c$	3	3	3	3	3	3	3	3	3	3
$SU(2)_L$	1	1	2	2	3	1	1	2	2	3
$U(1)_Y$	$-\frac{2}{3}$	$-\frac{8}{3}$	$\frac{7}{3}$	$\frac{1}{3}$	$-\frac{2}{3}$	$\frac{4}{3}$	$\frac{10}{3}$	$-\frac{5}{3}$	$\frac{1}{3}$	$\frac{4}{3}$

theory) by assigning baryon and lepton number to the LQs. In the following, we denote the LQ masses according to their representation and use small m for the scalar LQs and capital M for the vector LQs.

A. Matching

We now perform the tree-level matching of our ten LQ representations on $SU(2)_L$ gauge invariant dimension-six four-fermion operators using the basis of Ref. [168]

$$\begin{aligned}
\mathcal{L} &= \sum C_i O_i, \\
O_{\ell q}^{(1)} &= [\bar{Q}\gamma^\mu Q][\bar{L}\gamma_\mu L], \\
O_{\ell q}^{(3)} &= [\bar{Q}\tau^I \gamma^\mu Q][\bar{L}\tau^I \gamma_\mu L], \\
O_{qe} &= [\bar{Q}\gamma^\mu Q][\bar{e}\gamma_\mu e], \\
O_{\ell u} &= [\bar{u}\gamma^\mu u][\bar{L}\gamma_\mu L], \\
O_{\ell d} &= [\bar{d}\gamma^\mu d][\bar{L}\gamma_\mu L], \\
O_{eu} &= [\bar{u}\gamma^\mu u][\bar{e}\gamma_\mu e], \\
O_{ed} &= [\bar{d}\gamma^\mu d][\bar{e}\gamma_\mu e],
\end{aligned} \tag{1}$$

and find

	$C_{\ell q}^{(1)}$	$C_{\ell q}^{(3)}$	C_{qe}	$C_{\ell u}$	$C_{\ell d}$	C_{eu}	C_{ed}
Φ_1	$\frac{ \lambda_1^L ^2}{4m_1^2}$	$-\frac{ \lambda_1^L ^2}{4m_1^2}$	*	*	*	$\frac{ \lambda_1^R ^2}{2m_1^2}$	*
$\tilde{\Phi}_1$	*	*	*	*	*	*	$\frac{ \tilde{\lambda}_1 ^2}{2\tilde{m}_1^2}$
Φ_2	*	*	$-\frac{ \lambda_2^{LR} ^2}{2m_2^2}$	$-\frac{ \lambda_2^{RL} ^2}{2m_2^2}$	*	*	*
$\tilde{\Phi}_2$	*	*	*	*	$-\frac{ \tilde{\lambda}_2 ^2}{2\tilde{m}_2^2}$	*	*
Φ_3	$\frac{3 \lambda_3 ^2}{4m_3^2}$	$\frac{ \lambda_3 ^2}{4m_3^2}$	*	*	*	*	*
V_1	$-\frac{ \kappa_1^L ^2}{2M_1^2}$	$-\frac{ \kappa_1^L ^2}{2M_1^2}$	*	*	*	*	$-\frac{ \kappa_1^R ^2}{M_1^2}$
\tilde{V}_1	*	*	*	*	*	$-\frac{ \tilde{\kappa}_1 ^2}{M_1^2}$	*
V_2	*	*	$\frac{ \kappa_2^{LR} ^2}{M_2^2}$	*	$\frac{ \kappa_2^{RL} ^2}{M_2^2}$	*	*
\tilde{V}_2	*	*	*	$\frac{ \tilde{\kappa}_2 ^2}{M_2^2}$	*	*	*
V_3	$-\frac{3 \kappa_3 ^2}{2M_3^2}$	$\frac{ \kappa_3 ^2}{2M_3^2}$	*	*	*	*	*

(2)

in agreement with Refs. [47,145,148,169].

For simplicity, we do not include flavor indices, since we will only consider couplings to first generation fermions (in the weak basis). Furthermore, we assume that Φ_1 , Φ_2 , V_1 and V_2 possess only one of the two possible couplings at the same time. Therefore, no scalar or tensor operators are generated, where the former ones are very stringently constrained from $\pi \rightarrow e\nu$.

Let us now consider the one-loop matching on four-quark operators [170] involving only left-handed fields,

$$Q_{qq}^{(1)} = [\bar{Q}\gamma^\mu Q][\bar{Q}\gamma_\mu Q], \quad (3)$$

$$Q_{qq}^{(3)} = [\bar{Q}\tau^I \gamma^\mu Q][\bar{Q}\tau^I \gamma_\mu Q], \quad (4)$$

where the color indices are contracted within each bilinear and the Wilson coefficients are given by

$$\Phi_1: C_{qq}^{(1)} = \frac{-|\lambda_1^L|^4}{256\pi^2 m_1^2}, \quad C_{qq}^{(3)} = \frac{-|\lambda_1^L|^4}{256\pi^2 m_1^2}, \quad (5a)$$

$$\Phi_2: C_{qq}^{(1)} = \frac{-|\lambda_2^{LR}|^4}{128\pi^2 m_2^2}, \quad (5b)$$

$$\Phi_3: C_{qq}^{(1)} = \frac{-9|\lambda_3|^4}{256\pi^2 m_3^2}, \quad C_{qq}^{(3)} = \frac{-|\lambda_3|^4}{256\pi^2 m_3^2}, \quad (5c)$$

$$V_1: C_{qq}^{(1)} = \frac{-|\kappa_1^L|^4}{32\pi^2 M_1^2}, \quad (5d)$$

$$V_2: C_{qq}^{(1)} = \frac{-|\kappa_2^{LR}|^4}{32\pi^2 M_2^2}, \quad (5e)$$

$$V_3: C_{qq}^{(1)} = \frac{-3|\kappa_3|^4}{32\pi^2 M_3^2}, \quad C_{qq}^{(3)} = \frac{-|\kappa_3|^4}{16\pi^2 M_3^2}. \quad (5f)$$

Due to $SU(2)_L$, these operators will necessarily give rise to $K^0 - \bar{K}^0$ and/or $D^0 - \bar{D}^0$ mixing after electroweak symmetry breaking. For the vector LQs we calculated the diagrams in Feynman gauge, i.e., neglecting Goldstone contributions. In this way a finite result is obtained and the estimate is conservative in the sense that the NP contribution obtained is smaller than (the finite part of) the one in unitary gauge where large logarithms involving the cutoff appear [53].

B. Electroweak symmetry breaking

For left-handed quarks “first generation” is only well defined in the interaction basis as after electroweak symmetry breaking nondiagonal mass matrices for the quarks are generated. In order to work in the physical basis with diagonal mass terms, we have to rotate the quark fields¹

¹The same is true for charged leptons. However, in the limit of vanishing neutrino masses all rotation necessary to diagonalize the charged lepton mass matrix are unphysical since they can be absorbed into a field redefinition.

TABLE II. Interaction terms of the LQ representations listed in Table I, where Q and L represent the left-handed quark and lepton $SU(2)_L$ doublets, e , d and u the right-handed $SU(2)_L$ singlets, the superscript c stands for charge conjugation and τ_i are the Pauli matrices.

	L	e
\bar{Q}	$\kappa_1^L \gamma_\mu V_1^\mu + \kappa_3 \gamma_\mu (\tau \cdot V_3^\mu)$	$\lambda_2^{LR} \Phi_2$
\bar{d}	$\tilde{\lambda}_2 \tilde{\Phi}_2^T i\tau_2$	$\kappa_1^R \gamma_\mu V_1^\mu$
\bar{u}	$\lambda_2^{RL} \Phi_2^T i\tau_2$	$\tilde{\kappa}_1 \gamma_\mu \tilde{V}_1^\mu$
\bar{Q}^c	$\lambda_3 i\tau_2 (\tau \cdot \Phi_3)^\dagger + \lambda_1^L i\tau_2 \Phi_1^\dagger$	$\kappa_2^{LR} \gamma_\mu V_2^{\mu\dagger}$
\bar{d}^c	$\kappa_2^{RL} \gamma_\mu V_2^{\mu\dagger}$	$\tilde{\lambda}_1 \tilde{\Phi}_1^\dagger$
\bar{u}^c	$\tilde{\kappa}_2 \gamma_\mu \tilde{V}_2^{\mu\dagger}$	$\lambda_1^R \Phi_1^\dagger$

$$\begin{aligned} d_{L,f} &\rightarrow U_{fi}^{dL} d_{L,i}, \\ d_{R,f} &\rightarrow U_{fi}^{dR} d_{R,i}, \\ u_{L,f} &\rightarrow U_{fi}^{uL} u_{L,i}, \\ u_{R,f} &\rightarrow U_{fi}^{uR} u_{R,i}, \end{aligned} \quad (6)$$

with the unitary matrices $U^{uL,R}$ and $U^{dL,R}$. While the right-handed rotations can be absorbed by a redefinition of the couplings and are thus unphysical, the left-handed ones form the Cabibbo-Kobayashi-Maskawa (CKM) matrix

$$V_{fi} \equiv U_{jf}^{uL*} U_{ji}^{dL}. \quad (7)$$

As we want to study first generation LQs (defined in the weak basis), and flavor violating effects involving first and second quark generation quarks are most stringently constrained, we can focus on the 2×2 sector which is related to the relatively large Cabibbo angle $\theta_c \approx 0.22$. We can thus parametrize the matrices in Eq. (6) as

$$\begin{aligned} U^{uL} &= \begin{pmatrix} \cos(\alpha) & \sin(\alpha) \\ -\sin(\alpha) & \cos(\alpha) \end{pmatrix}, \\ U^{dL} &= \begin{pmatrix} \cos(\beta) & \sin(\beta) \\ -\sin(\beta) & \cos(\beta) \end{pmatrix}. \end{aligned} \quad (8)$$

Using Eq. (7) this yields

$$V = \begin{pmatrix} \cos(\beta - \alpha) & \sin(\beta - \alpha) \\ -\sin(\beta - \alpha) & \cos(\beta - \alpha) \end{pmatrix} \quad (9a)$$

$$\stackrel{!}{=} \begin{pmatrix} \cos(\theta_c) & \sin(\theta_c) \\ -\sin(\theta_c) & \cos(\theta_c) \end{pmatrix}. \quad (9b)$$

Hence, we can write

$$U^{uL} = \begin{pmatrix} \cos(\beta - \theta_c) & \sin(\beta - \theta_c) \\ -\sin(\beta - \theta_c) & \cos(\beta - \theta_c) \end{pmatrix}. \quad (10)$$

If $\beta = 0$, we work in the so-called down basis where no CKM elements appear in flavor changing neutral currents (FCNCs) with down-type quarks. On the other hand, if we choose $\beta = \theta_c$, we work in the up basis in which down-type FCNCs are induced via CKM elements while up-type FCNCs are absent.

III. OBSERVABLES

A. Charged semileptonic current

We use the charged current effective Hamiltonian

$$\mathcal{H}_{\text{eff}}^{\ell\nu} = \frac{4G_F}{\sqrt{2}} V_{jj'} \hat{C}_{j'k}^{e\nu} [\bar{u}_j \gamma^\mu P_L d_k] [\bar{\ell} \gamma_\mu P_L \nu_e], \quad (11)$$

governing semileptonic transitions. The coefficients $\hat{C}_{jk}^{e\nu} = C_{jk}^{\text{SM}} + C_{jk}^{e\nu}$ are the sum of the SM and LQ contribution. The normalization is chosen such that we have in the SM

$$C_{jk}^{\text{SM}} = \delta_{jk}. \quad (12)$$

Integrating out the LQs, we obtain the following tree-level matching results:

$$\begin{aligned} C_{11}^{e\nu} &= \frac{-1}{\sqrt{2}G_F} \frac{c_\beta c_{\beta-\theta}}{V_{ud}} C_{\ell q}^{(3)}, \\ C_{12}^{e\nu} &= \frac{-1}{\sqrt{2}G_F} \frac{s_\beta c_{\beta-\theta}}{V_{us}} C_{\ell q}^{(3)}, \end{aligned} \quad (13)$$

where we abbreviated $c_\beta \equiv \cos(\beta)$, $s_\beta \equiv \sin(\beta)$, $c_{\beta-\theta} \equiv \cos(\beta - \theta_c)$ and $s_{\beta-\theta} \equiv \sin(\beta - \theta_c)$ and neglected effects related to third generation quarks and charm quarks, which would result in much weaker limits than the bounds to be discussed now.

The $d \rightarrow ue\bar{\nu}_e$ transitions contribute to beta decays where the measured CKM element V_{ud}^β (extracted from experiment using the SM hypothesis) is related to the unitary CKM matrix V_{ud}^L of the Lagrangian (including NP effects)

$$V_{ud}^\beta = V_{ud}^L (1 + C_{11}^{e\nu_e}). \quad (14)$$

The element V_{ud}^L can then be converted to V_{us}^L applying unitarity

$$|V_{us}^L| = \sqrt{1 - |V_{ud}^L|^2 - |V_{ub}^L|^2}. \quad (15)$$

We find

$$V_{us}^L \approx V_{us}^\beta + \frac{|V_{ud}^\beta|^2}{|V_{us}^\beta|^2} C_{11}^{e\nu_e}. \quad (16)$$

V_{ub}^β is most precisely determined from superallowed beta decays. Following Ref. [160] we have

$$V_{us}^\beta = 0.2281(7), \quad V_{us}^\beta|_{\text{NNC}} = 0.2280(14), \quad (17)$$

where the latter value contains the new nuclear corrections (NNCs) proposed by Refs. [171,172]. Since at the moment the issue of the NNCs is not settled, we will quote results for both determinations. This value of V_{us}^β can now be compared to V_{us} from two and three body kaon [173] and tau decays [174]

$$\begin{aligned} V_{us}^{K\mu^3} &= 0.22345(67), & V_{us}^{K\epsilon^3} &= 0.22320(61), \\ V_{us}^{K\mu^2} &= 0.22534(42), & V_{us}^\tau &= 0.2195(19), \end{aligned} \quad (18)$$

which are significantly lower.² This disagreement constitutes the so-called Cabibbo-angle anomaly.

Besides β -decays, tests of LFU in pion and kaon decays, defined at the amplitude level and normalized to unity in the SM, result in

$$\begin{aligned} \frac{\pi \rightarrow \mu\nu}{\pi \rightarrow e\nu} &\approx 1 - \frac{C_{11}^{e\nu_e}}{V_{ud}}, \\ \frac{K \rightarrow (\pi)\mu\nu}{K \rightarrow (\pi)e\nu} &\approx 1 - \frac{C_{12}}{V_{us}}. \end{aligned} \quad (19)$$

We do not distinguish between V^β and V^L here, as the difference would be higher order. Equations (19) have to be compared to

$$\begin{aligned} \left. \frac{K \rightarrow \pi\mu\nu}{K \rightarrow \pi e\nu} \right|_{\text{exp}} &= 1.0010 \pm 0.0025, \\ \left. \frac{K \rightarrow \mu\nu}{K \rightarrow e\nu} \right|_{\text{exp}} &= 0.9978(18), \\ \left. \frac{\pi \rightarrow \mu\nu}{\pi \rightarrow e\nu} \right|_{\text{exp}} &= 1.0010(9), \end{aligned} \quad (20)$$

from Ref. [176], Refs. [177–179], and Refs. [179–182], respectively. Numerically, $C_{11}^{e\nu_e} \approx -0.001$ would significantly improve the agreement with data. Note that effects in charged current D decays are not very constraining [183].

B. Tree-level neutral current

Chiral quark-electron interactions can be constrained from atomic parity violation (APV) experiments [184,185] and from the weak charge of the proton as measured by Qweak [186,187]. The relevant effective Lagrangian reads

$$\mathcal{L}_{\text{eff}}^{ee} = \frac{G_F}{\sqrt{2}} \sum_{q=u,d} \hat{C}_{1q} [\bar{q} \gamma^\mu q] [\bar{e} \gamma_\mu \gamma_5 e], \quad (21)$$

²Recently, Ref. [175] obtained a value of $|V_{ud}|^2 = 0.94805(26)$ which even slightly increases the disagreement with V_{us} .

where $\hat{C}_{1q} = C_{1q}^{\text{SM}} + C_{1q}$ with $C_{1u}^{\text{SM}} = -0.1887$ and $C_{1d}^{\text{SM}} = 0.3419$. Again we can express the Wilson coefficients C_{1q} in terms of the SMEFT matching coefficients

$$C_{1u} = \frac{-\sqrt{2}}{4G_F} (c_{\beta-\theta}^2 (C_{\ell q}^{(1)} - C_{\ell q}^{(3)} - C_{qe}) + C_{\ell u} - C_{eu}),$$

$$C_{1d} = \frac{-\sqrt{2}}{4G_F} (c_{\beta}^2 (C_{\ell q}^{(1)} + C_{\ell q}^{(3)} - C_{qe}) + C_{\ell d} - C_{ed}). \quad (22)$$

This has to be compared to [188]

$$Q_W(p) = -2(2\hat{C}_{1u} + \hat{C}_{1d}) = 0.0719 \pm 0.0045,$$

$$Q_W(Cs^{133}) = -2(188\hat{C}_{1u} + 211\hat{C}_{1d}) = -72.82 \pm 0.42. \quad (23)$$

For our numerical analysis we combine these constraints in a χ^2 fit with one degree of freedom since each LQ representation predicts a single direction in $C_{1u} - C_{1d}$ space.

If we are not exactly aligned to the down basis (i.e., $\beta \neq 0$), some representations generate $s \rightarrow de^+e^-$ transitions which result in LFU violation in $K \rightarrow \pi\mu^+\mu^-/K \rightarrow \pi e^+e^-$. With the current experimental constraints [189–191] we find according to Ref. [192]

$$s_{\beta} c_{\beta} (C_{lq}^{(1)} + C_{lq}^{(3)} + C_{qe}) = \frac{0.0012 \pm 0.0046}{\text{TeV}^2} \quad (24)$$

from $K^+ \rightarrow \pi^+\mu^+\mu^-/K^+ \rightarrow \pi^+e^+e^-$. Similar tests of LFU in D decays are not constraining [193].

Similarly, if the LQ representation couples left-handed down quarks to neutrinos, effects in $K \rightarrow \pi\nu\nu$ are generated for $\beta \neq 0$. Here the charged mode [194]

$$\text{Br}[K^+ \rightarrow \pi^+\nu\bar{\nu}] = (1.73_{-1.05}^{+1.15}) \times 10^{-10}, \quad (25)$$

provides better constraints and using the results of Refs. [195,196] we find

$$\text{Br}[K^{\pm} \rightarrow \pi^{\pm}\nu\bar{\nu}]$$

$$= \frac{1}{3}(1 + \Delta_{\text{EM}})\eta_{\pm}$$

$$\times \sum_{f,i=1}^3 \left[\frac{|\text{Im}[\lambda_t \tilde{X}_{\nu}^{fi}]|^2}{\lambda^5} + \left(\frac{\text{Re}[\lambda_c]}{\lambda} P_c \delta_{fi} + \frac{\text{Re}[\lambda_t \tilde{X}_{\nu}^{fi}]}{\lambda^5} \right)^2 \right], \quad (26)$$

with $\lambda_q = V_{qs}^* V_{qd}$ and

$$\tilde{X}_{\nu}^{fi} = X_{\nu}^{\text{SM},fi} - s_W^2 C_{\nu}^{fi},$$

$$X_L^{\text{SM},fi} = (1.481 \pm 0.005 \pm 0.008) \delta_{fi},$$

$$P_c = 0.404 \pm 0.024, \quad \Delta_{\text{EM}} = -0.003,$$

$$\eta_{\pm} = (5.173 \pm 0.025) \times 10^{-11} \left[\frac{\lambda}{0.225} \right]^8. \quad (27)$$

The LQ effects can again be expressed in the compact form

$$C_{\nu}^{fi} = \frac{-\pi s_{\beta} c_{\beta}}{\sqrt{2} G_F \alpha V_{ts}^* V_{td}} (C_{\ell q}^{(1)} - C_{\ell q}^{(3)}) \delta_{fi} \delta_{i1}, \quad (28)$$

by using Eq. (5). Again, the analogous D decays cannot complete in precision [197] and the loop-induced effects in $D^0 - \bar{D}^0$ turn out to be more relevant.

C. $D^0 - \bar{D}^0$ and $K^0 - \bar{K}^0$ mixing

Finally, if a LQ representation couples to left-handed quarks with $\beta \neq \theta_c$ ($\beta \neq 0$) FCNC in $D^0 - \bar{D}^0$ ($K^0 - \bar{K}^0$) mixing is generated. We use

$$\mathcal{H}_{\text{eff}}^{D\bar{D}} = C_1^D [\bar{u}_a \gamma^{\mu} P_L c_a] [\bar{u}_{\beta} \gamma_{\mu} P_L c_{\beta}],$$

$$\mathcal{H}_{\text{eff}}^{K\bar{K}} = C_1^K [\bar{d}_a \gamma^{\mu} P_L s_a] [\bar{d}_{\beta} \gamma_{\mu} P_L s_{\beta}] \quad (29)$$

to parametrize NP contributions and we obtain

$$C_1^D = -s_{\beta-\theta}^2 c_{\beta-\theta}^2 (C_{qq}^{(1)} + C_{qq}^{(3)}), \quad (30)$$

$$C_1^K = -s_{\beta}^2 c_{\beta}^2 (C_{qq}^{(1)} + C_{qq}^{(3)}). \quad (31)$$

The limits on the coefficients are [198]

$$|\text{Re}[C_1^D]| \lesssim 3 \times \frac{10^{-7}}{\text{TeV}^2},$$

$$|\text{Re}[C_1^K]| \lesssim 1.3 \times \frac{10^{-7}}{\text{TeV}^2}. \quad (32)$$

Since the SM contribution cannot be reliably calculated in case of $D^0 - \bar{D}^0$ mixing, we assumed that the NP contribution should not generate more than the whole measured mass difference to obtain this bound.

D. LHC bounds

One can search for signals of LQs at the LHC generated via

- (i) pair production (PP): $qq(gg) \rightarrow 2\text{LQ} \rightarrow qq\ell\ell$,
 - (ii) single production (SP): $qg \rightarrow \text{LQ} \rightarrow \ell\ell q$,
 - (iii) single resonant production (SRP): $\ell q \rightarrow \text{LQ} \rightarrow \ell q$,
 - (iv) Drell-Yan (DY): $pp \rightarrow \text{LQ}^* \rightarrow \ell\ell$
- as depicted in Fig. 1.

For first generation LQs, PP sets coupling independent limits on their masses. Here we use the bounds for the neutrino and charged lepton channels of Refs. [199,200],

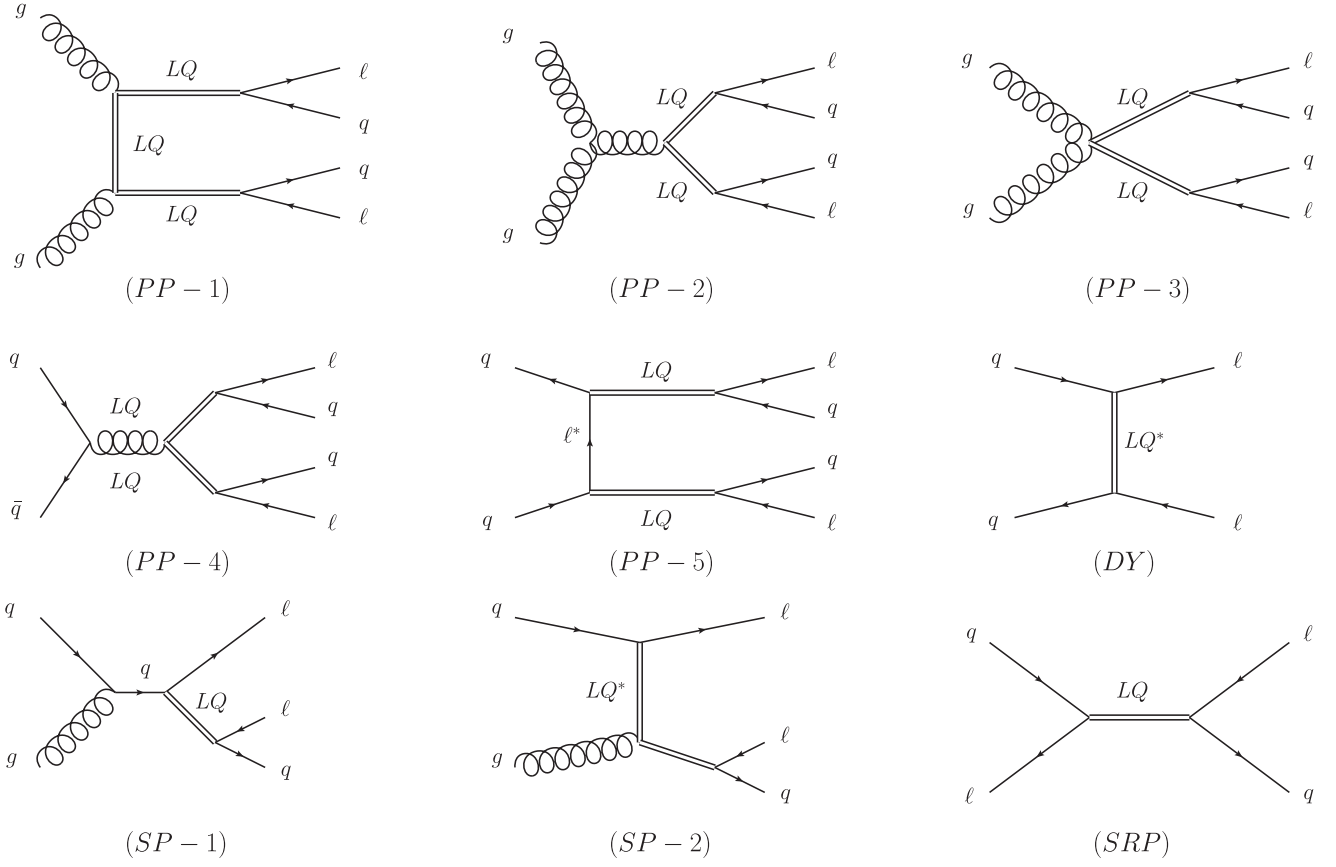


FIG. 1. Feynman diagrams showing the different search channels for LQs at the LHC.

respectively. Note that the interactions of gluons with vector LQs depend on the nature of the LQ, i.e., whether it is a massive Proca field or a massive gauge boson [201]. We chose the latter case (corresponding to $\kappa_G = 0$) and rescaled the experimental bounds on the masses of Refs. [199,200] by a constant factor ≈ 1.3 derived from Ref. [202] by comparing the vector LQ to the scalar LQ limits.³ Furthermore, the limits from PP differ for the various LQ representations [204]. In case of a small mass splitting among the $SU(2)_L$ components, as realized for $v \ll m, M$, their contributions add up to the total signal strength. This can be incorporated in the analysis by choosing an “effective” value of β (originally parametrizing the branching fraction to electrons) which can then however be bigger than 1 (e.g., $\sqrt{2}$ for λ_2^{LR}). Therefore, we extrapolated the β dependence of the limits given in Refs. [199,200] to account for these cases.

While the bounds from SP via $qg \rightarrow LQ \rightarrow \ell\ell q$ are quite weak [138,205,206], in case of first generation LQs much better bounds can be derived from SRP via $\ell q \rightarrow LQ \rightarrow \ell q$ [141,207] using the electron parton distribution

function (PDF) of the proton [208]. Since Ref. [141] considers a simplified setup with ue and de interactions separately, we have to adapt the limits for several of our LQ representations. First of all, as for PP, the small mass splitting between the $SU(2)_L$ components leads to overlapping signals (i.e., the cross sections of the components have to be added). In addition, we have to take into account the difference between the up and down quark PDFs, which can be obtained for the relative strength of the ue and de limits given in Ref. [141]. Furthermore, if the LQ couples to a lepton doublet, we must adjust the branching ratio as it can decay to neutrinos whose signal is not included in the analysis. Finally, for vector LQs we have to correct for the fact that, due to the Dirac algebra, the on-shell production cross section is $\sigma_{VLQ} = 2\sigma_{SLQ} + \mathcal{O}(\alpha_s)$ for equal LQ couplings to fermions, where SLQ stands for scalar LQs.

Limits from DY-like signatures were derived in Ref. [138] based on the CMS search for resonant dilepton pairs [209], but they turn out to be less constraining than the bounds from SRP [141]. Interestingly, the latest nonresonant dilepton search of ATLAS⁴ [210] can be used to obtain

³Note that the bounds could be weakened in case the LQ is not purely a gauge boson by minimizing the cross section with respect to κ_G and λ_G [201,203].

⁴Note that in v1 and v2 of the ATLAS article a factor 2 in the definition of the Lagrangian in Eq. (1) was missing. We thank the ATLAS Collaboration for confirming this.

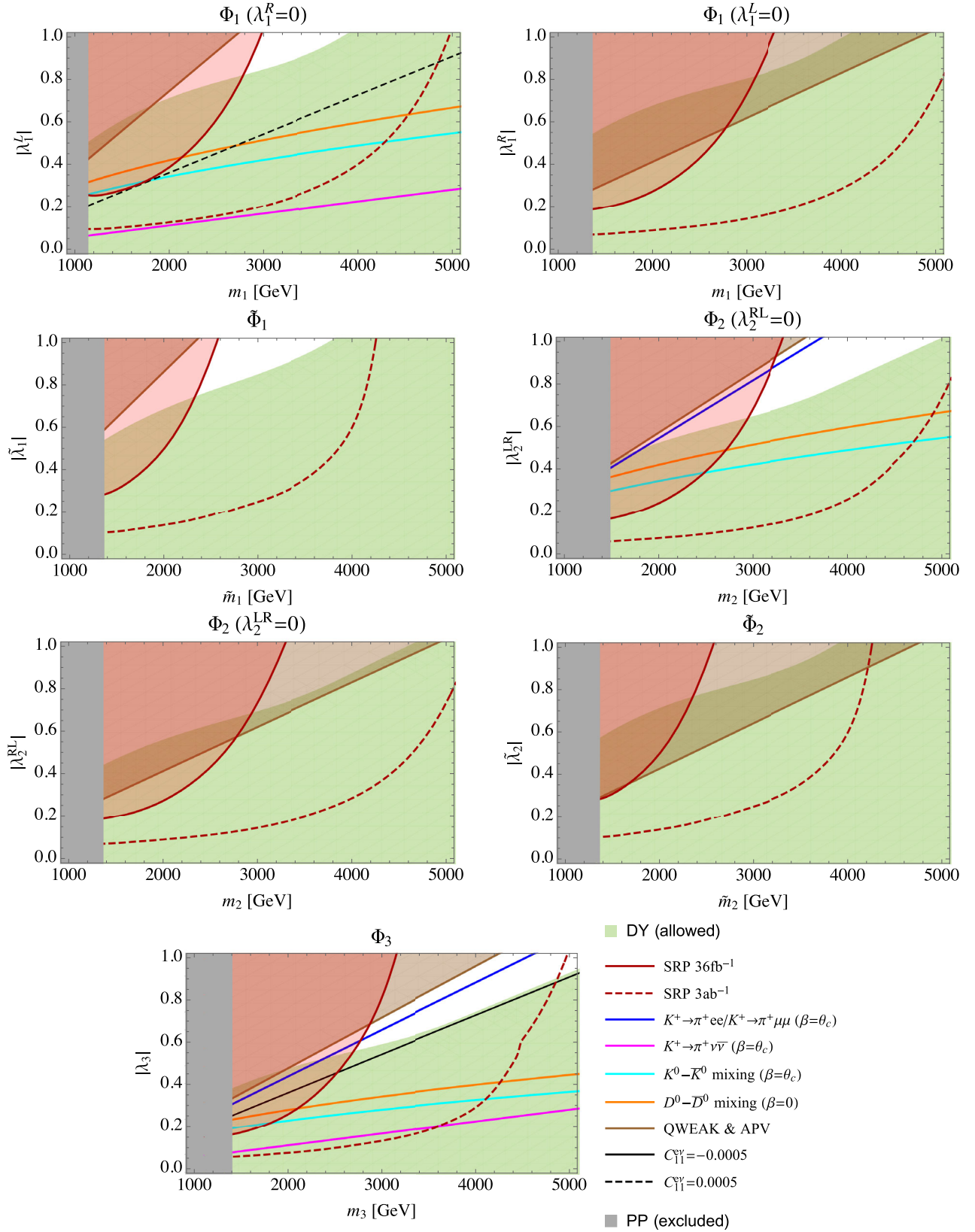


FIG. 2. Limits on the parameter space of first generation scalar LQs. The region above the colored lines is excluded. While LHC limits and the bounds from parity violation are to a good approximation independent of β [for $\beta = O(\theta_c)$] the bounds from kaon and D decays depend on it. We consider the two scenarios $\beta = \theta_c$ or $\beta = 0$. In the first case, the limits from kaon decays apply for LQ representations with left-handed quark fields, while in the second case these limits are absent but bounds from $D^0 - \bar{D}^0$ arise.

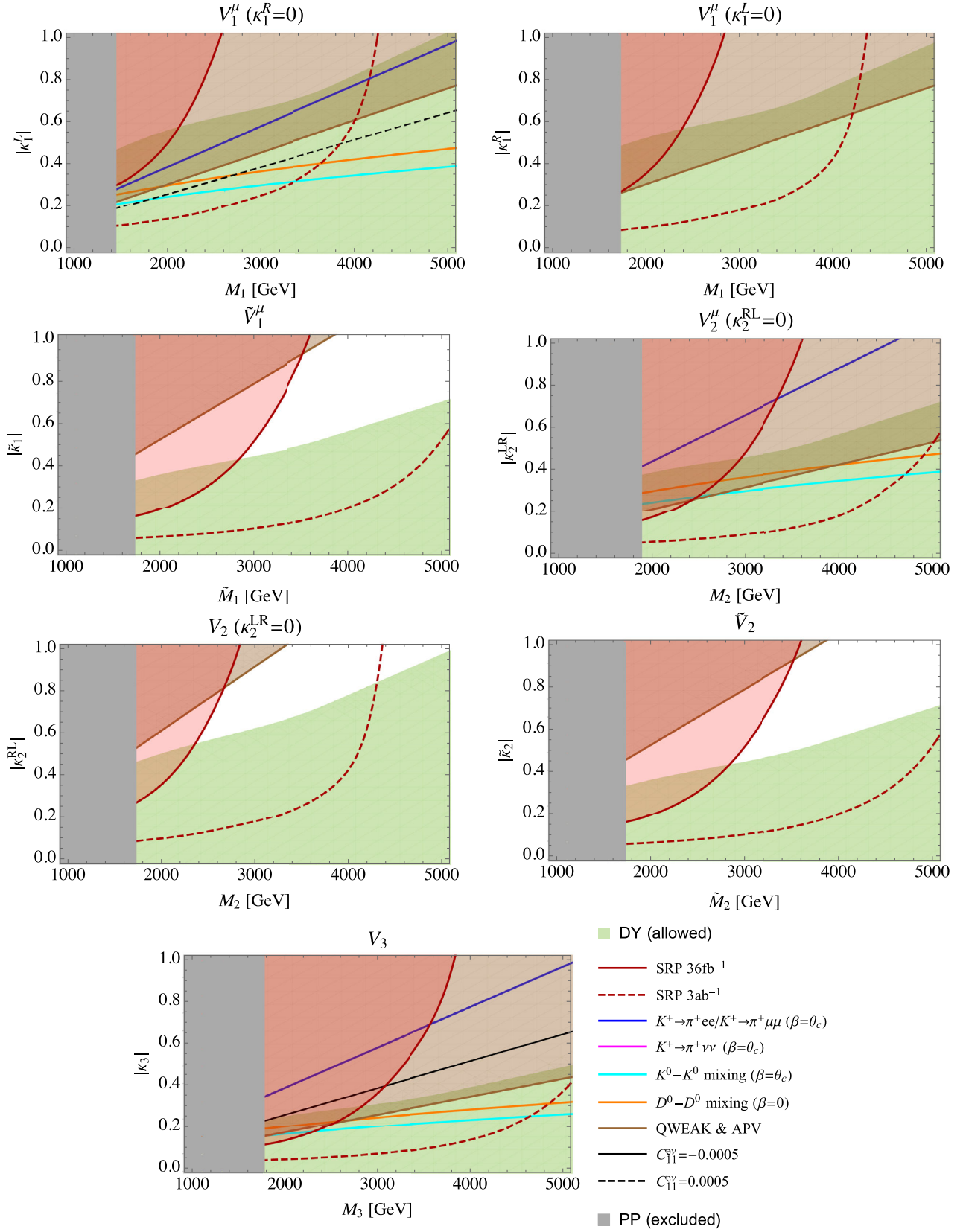


FIG. 3. Limits on the parameter space of first generation vector LQs. The region above the colored lines is excluded. While LHC limits and the bounds from parity violation are to a good approximation independent of β [for $\beta = O(\theta_c)$] the bounds from kaon and D decays depend on it. We consider the two scenarios $\beta = \theta_c$ or $\beta = 0$. In the first case, the limits from kaon decays apply for LQ representations with left-handed quark fields, while in the second case these limits are absent but bounds from $D^0 - \bar{D}^0$ arise.

more stringent bounds.⁵ Here we have to take into account that Ref. [210] assumed quark flavor universality which is not respected by most of the representations. This can be done by correcting for the fact that at 2 TeV the $uu \rightarrow \ell^+ \ell^-$ cross section is a factor ≈ 1.7 bigger than the $dd \rightarrow \ell^+ \ell^-$ one for equal couplings. Furthermore, unlike for the analysis of Ref. [138] which is valid for low LQ masses, here care has to be taken if the LQ mass is within the LHC energy range. Following Ref. [212], the four-fermion approximation can be used if the LQ mass squared is bigger than 4 times the center of mass energy. As the highest energy used in the analysis of Ref. [210] is ≈ 2 TeV, the limits can be applied for a LQ mass above ≈ 4 TeV [212]. If the LQ is lighter, the limit is weakened. In particular for a LQ mass of 1 TeV the bound on the coupling is a factor ≈ 1.6 (≈ 2.1) less stringent than extracted in the four-fermion approximation [212] in case of constructive (destructive) interference.⁶

IV. PHENOMENOLOGICAL ANALYSIS

In our phenomenological analysis we consider each LQ representation separately. In addition, we only allow for a single nonzero coupling at a time so that there are two scenarios for $\Phi_{1,2}$ and $V_{1,2}$ each. Therefore, we have 14 scenarios in total with three free parameters in each case: the LQ mass (m, M), the coupling (λ, κ) and the angle β . The LHC limits and the bounds from parity violation are to a good approximation independent of β [for $\beta = O(\theta_c)$]. Here we will consider two cases, $\beta = 0$ and $\beta = \theta_c$, corresponding to the down and up basis, respectively. While in the first case no effects in kaon physics appear, bounds from $D^0 - \bar{D}^0$ mixing are relevant for all LQ representations involving couplings to quark doublets. On the other hand, if $\beta = \theta_c$, no limits from D physics can be obtained, but $K^+ \rightarrow \pi^+ \nu \nu (e^+ e^-)$ puts bounds on the parameter space.

In Figs. 2 and 3 we show combined constraints (as well as the 3 ab^{-1} projection for SRP) on the parameter space of first generation LQs. All cases are constrained by LHC searches and parity violation experiments (Qweak + APV) but bounds from kaon and D physics only appear in the case of couplings to quark doublets. In this case it is not possible to avoid both kaon and D bounds simultaneously, and the resulting limits are stringent. Furthermore, the

ATLAS bounds on nonresonant dilepton production are also very stringent and in fact more constraining than the DY bounds [204] (not displayed here) obtained from recasting resonant dilepton searches [209]. Note that the 95% C.L. limits from Qweak + APV give quite different constraints on the various LQ representations since the central value is about 1σ off the SM prediction.

Only the representations $\Phi_{1,3}$ and $V_{1,3}$ generate a charged current whose strength is indicated by the black lines. Here the Cabibbo-angle anomaly prefers negative values $C_{11}^{e\nu} \approx -0.001$. This disfavors V_1 (ϕ_1) with $\lambda_1^L \neq 0$ ($\kappa_1^L \neq 0$), while it would in principle favor V_3 and Φ_3 . However, DY searches as well as $K^0 - \bar{K}^0$ and/or $D^0 - \bar{D}^0$ mixing exclude sizeable values of $C_{11}^{e\nu}$. Therefore, despite the fact that LQs can give tree-level effects in (super-allowed) beta decays, they cannot account for the deficit in first row CKM unitarity.

V. CONCLUSION

In this article we performed a combined analysis of constraints on first generation LQs for all ten possible representations (five scalar and five vector ones). We included the constraints from parity violating experiments (Qweak + APV) and LHC searches, in particular PP, SRP and DY searches. For the latter case, we find that the latest nonresonant dilepton analysis of ATLAS provides stronger bounds than resonant searches recasted so far in the literature. As for left-handed quarks “first generation” can only be defined in the weak basis before EW symmetry breaking, unavoidable effects in kaon and/or D physics occur for the LQ representations coupling to quark doublets. Our results are depicted in Figs. 2 and 3 for scalar and vector LQs, respectively. One can see that all cases are constrained by parity violating experiments and LHC searches, but only the cases which involve quark doublets are constrained by kaon and/or D physics. Furthermore, only four representations give rise to charged current effects where the Cabibbo-angle anomaly prefers a destructive effect with respect to the SM. Such an effect can only be generated by Φ_3 and V_3 and the possible size is too constrained by DY searches as well as $K^0 - \bar{K}^0$ and/or $D^0 - \bar{D}^0$ mixing to account for the anomaly.

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⁵In principle also large electron-positron collider bounds on ee-q \bar{q} interactions [211] could be used to constrain first generation LQs. Even though these limits can be directly applied for TeV scale LQs, they turn out to be weaker compared to LHC searches and low energy precision constraints.

⁶For our numerical analysis we interpolated the points given in Fig. 2 of Ref. [212] to estimate the correction factor.

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